



SMALL BUSINESS ADMINISTRATION

Emergence Capital Partners SBIC, L.P.
License No. 09/79-0454

Notice Seeking Exemption Under Section 312 of the Small Business Investment Act, Conflicts of Interest

Notice is hereby given that **Emergence Capital Partners SBIC, L.P., 160 Bovet Road, Suite 300, San Mateo, CA 94402**, a Federal Licensee under the Small Business Investment Act of 1958, as amended (“the Act”), in connection with the financing of a small concern, has sought an exemption under Section 312 of the Act and Section 107.730, Financings which Constitute Conflicts of Interest of the Small Business Administration (“SBA”) Rules and Regulations (13 CFR 107.730). **Emergence Capital Partners SBIC, L.P.** proposes to provide equity financing to **Lithium Technologies, Inc., 6121 Hollis Street Suite 4, Emeryville, CA 94608** (“**Lithium**”). The financing is contemplated for working capital and general operating purposes.

The financing is brought within the purview of §107.730(a)(1) of the Regulations because Emergence Capital Partners, L.P. and Emergence Capital Associates, L.P., Associates of **Emergence Capital Partners SBIC, L.P.**, own more than ten percent of **Lithium**. Therefore, **Lithium** is considered an Associate of Emergence Capital Partners SBIC, L.P. and this transaction is considered *Financing an Associate*, requiring prior SBA approval.

Notice is hereby given that any interested person may submit written comments on the transaction within 15 days of the date of this publication to the Associate Administrator for Investment, U.S. Small Business Administration, 409 Third Street SW, Washington, DC 20416.

Sean J. Greene
Associate Administrator for Investment

November 25, 2011

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